Hiroki Nakamura Gennady Mil'nikov

Quantum
Mechanical Tunneling
in Chemical Physics



CRC Press Taylor & Francis Group 6000 Broken Sound Parkway NW, Suite 300 Boca Raton, FL 33487-2742

© 2013 by Taylor & Francis Group, LLC CRC Press is an imprint of Taylor & Francis Group, an Informa business

No claim to original U.S. Government works

Printed on acid-free paper Version Date: 20121218

International Standard Book Number: 978-1-4665-0731-9 (Hardback)

This book contains information obtained from authentic and highly regarded sources. Reasonable efforts have been made to publish reliable data and information, but the author and publisher cannot assume responsibility for the validity of all materials or the consequences of their use. The authors and publishers have attempted to trace the copyright holders of all material reproduced in this publication and apologize to copyright holders if permission to publish in this form has not been obtained. If any copyright material has not been acknowledged please write and let us know so we may rectify in any future reprint.

Except as permitted under U.S. Copyright Law, no part of this book may be reprinted, reproduced, transmitted, or utilized in any form by any electronic, mechanical, or other means, now known or hereafter invented, including photocopying, microfilming, and recording, or in any information storage or retrieval system, without written permission from the publishers.

For permission to photocopy or use material electronically from this work, please access www.copyright.com (http://www.copyright.com/) or contact the Copyright Clearance Center, Inc. (CCC), 222 Rosewood Drive, Danvers, MA 01923, 978-750-8400. CCC is a not-for-profit organization that provides licenses and registration for a variety of users. For organizations that have been granted a photocopy license by the CCC, a separate system of payment has been arranged.

Trademark Notice: Product or corporate names may be trademarks or registered trademarks, and are used only for identification and explanation without intent to infringe.

Library of Congress Cataloging-in-Publication Data

Nakamura, Hiroki.

Quantum mechanical tunneling in chemical physics / Hiroki Nakamura, Institute of Molecular Science, National Chiao Tung University ... Taiwan, and Institute for Molecular Science, National Institutes of Natural Sciences, Okazaki, Japan, Gennady Mil'nikov, Faculty of Electrical, Electronic and Informatics Engineering, Osaka University ... Japan.

pages cm

Includes bibliographical references and index. ISBN 978-1-4665-0731-9 (hardback)

1. Tunneling (Physics) I. Title.

QC176.8.T8N34 2013 537.6'226--dc23

2012047936

Visit the Taylor & Francis Web site at http://www.taylorandfrancis.com

and the CRC Press Web site at http://www.crcpress.com

Contents

Preface					
Chapter 1	Intro	duction	1		
Chapter 2	One-	-Dimensional Theory	5		
	2.1	Exactly Solvable Cases	5		
		2.1.1 Case of Delta-Function Barrier	5		
		2.1.2 Case of Parabolic Potential Barrier	6		
		2.1.3 Case of Eckart Potential Barrier	8		
	2.2	WKB Approximation and Connection Formula	. 10		
	2.3	Comparison Equation Method	.11		
	2.4	Diagrammatic Technique	. 13		
	2.5	Instanton Theory and Modified WKB Method	. 16		
		2.5.1 Instanton Theory	. 16		
		2.5.2 Modified WKB Method			
	2.6	Energy Levels in a Double Well Potential			
		2.6.1 Asymmetric Double Well Potential			
		2.6.2 Symmetric Double Well Potential			
	2.7	Decay of Metastable State	. 29		
Chapter 3	Two-Dimensional Theory				
	3.1	WKB Theory			
	3.2	Instanton Theory			
Chapter 4	Mul	tidimensional Effects: Peculiar Phenomena	.43		
<u>_</u>	4.1	Effects of Vibrational Excitation on Tunneling Splitting			
		4.1.1 Adiabatic and Sudden Approximations			
		4.1.2 Case of Symmetric Mode Coupling Potential			
		4.1.3 Case of Antisymmetric Mode Coupling Potential	. 49		
		4.1.4 Case of Squeezed (Sqz) Double Well Potential			
	4.2	Insufficiency of Two-Dimensional Model			
	4.3	Proton Tunneling in Tropolone			
		4.3.1 Available Experimental Data			
		4.3.2 Tunneling Dynamics in the Ground \tilde{X} State	. 56		
		4.3.3 Analysis of Tunneling Dynamics of the			
		Excited \tilde{A} State	. 59		
Chapter 5	Nonadiabatic Tunneling				
	5.1	Definition and Qualitative Explanation	. 61		
	5.2	One-Dimensional Theory			
		5.2.1 Case of $E \leq E_t$			
		5.2.2 Case of $E_t \leq E \leq E_b$			
		5.2.3 Case of $E_b \leq E$. 68		

Chapter 6	Mult	idimensi	onal Theory of Tunneling Splitting	75		
	0.1	6.1.1	Multidimensional Extension of the	13		
		0.1.1	Instanton Theory	75		
		6.1.2	WKB Approach in Cartesian Coordinates			
		6.1.3	WKB Approach in the Case of General			
		0.1.5	Hamiltonian in Curved Space	. 85		
	6.2	How to	Find Instanton Trajectory	89		
	6.3	How to	Use the Theory	. 92		
	0.5	6.3.1	Evaluation of the Pre-Exponential Factor	92		
		6.3.2	Incorporation of High Level of ab initio			
		0.0.2	Quantum Chemical Calculations	95		
	6.4	Case of	Low Vibrationally Excited States	96		
	٠	6.4.1	One- and Two-Dimensional Cases	96		
		6.4.2	Multidimensional Case in Terms of			
		S	Cartesian Coordinates	99		
		6.4.3	Case of General Multidimensional			
			Curved Space	. 103		
Observation 7	NT	1 . A	-uli-etiane to Dalvetomio Molaculas	100		
Chapter 7	Numerical Applications to Polyatomic Molecules					
	7.1	N-Dimensional Separable Potential Model				
	7.2 7.3		Radical C ₂ H ₃			
			ıldehyde C ₃ O ₂ H ₄			
	7.4		Acid Dimer (DCOOH) ₂			
	7.5	Formic	Acid Dimer (DCOOH) ₂	. 133		
Chapter 8	Decay of Metastable States					
	8.1	Genera	l Formulation			
		8.1.1	Determination of Instanton Trajectory			
		8.1.2	Formulation in Terms of Cartesian Coordinates			
		8.1.3	General Canonically Invariant Formulation			
	8.2	Numer	ical Application	. 158		
Chapter 9	Tunneling in Chemical Reactions					
•	9.1 Determination of Caustics and Propagation in					
		Tunnel	ing Region	. 163		
		9.1.1	Caustics in Chaotic Henon-Heiles System			
		9.1.2	Caustics in Chemical Reaction Dynamics	. 167		
	9.2	Direct	Evaluation of Reaction Rate Constant			
		9.2.1	Adiabatic Chemical Reaction			
		9.2.2	Nonadiabatic Chemical Reaction	. 178		
Chanter 10	Co	ncludino	Remarks and Future Perspectives	. 183		

Contents

Equation (2.110)
187
ace189
191
stanton Trajectory
n Section 6.4.2
1